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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

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|  |   |                        |                  |
|--|---|------------------------|------------------|
|  |   | Application Number     | 09/492,668 ✓     |
|  |   | Filing Date            | January 27, 2000 |
|  |   | First Name Inventor    | Roy A. Garver    |
|  |   | Group Art Unit         | 2876             |
|  |   | Examiner Name          | N/A              |
| Total Number of Pages in This Submission | 1 | Attorney Docket Number | 242/300          |

## ENCLOSURES (check all that apply)

|  |  |  |
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| <input type="checkbox"/> Fee Transmittal Form                                      | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  |
| <input type="checkbox"/> Amendment / Response                                      | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                 | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                                 | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br><br>PTO Form-1449; and<br>seven cited references |
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| <input checked="" type="checkbox"/> Information Disclosure Statement               | <input type="checkbox"/> Request for Refund  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                    | <input type="checkbox"/> CD, Number of CD(s)   |  |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application      | Remarks  |  |
| <input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 |  |  |

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |                                 |  |
|-------------------------------|---------------------------------|--|
| Firm<br>or<br>Individual name | Lyon & Lyon, LLP                |  |
| Signature                     | Robert S. Mayer Reg. No. 38,544 |  |
| Date                          | May 3, 2001                     |  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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| Typed or printed name | Robert S. Mayer | Reg. No. 38,544 |             |
| Signature             | Robert S. Mayer | Date            | May 3, 2001 |

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Attorney Docket No. 242/300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Roy A. Garver

Serial No.: 09/492,668

Filed: January 27, 2000

For: **FIXED SELF-CHECKOUT STATION  
WITH CRADLE FOR  
COMMUNICATING WITH PORTABLE  
SELF-SCANNING UNITS**

) Group Art Unit: 2876

) Examiner: N/A



**22249**

PATENT TRADEMARK OFFICE

*5/T.S.  
G. Story  
5-17-01*

**SECOND INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.956. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

This IDS is believed to be timely because it is being filed before the receipt of a first Office Action. Thus, no fee is required.

**STATEMENT UNDER 37 CFR § 1.97(e):**

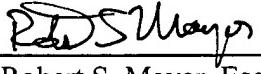
Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. Thus, no fee would be required even if a first Office Action was mailed.

A copy of the European Search Report in the counterpart foreign application is also enclosed.

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,  
LYON & LYON LLP

Dated: May 3, 2001

By: 38.544   
Reg. No. Robert S. Mayer, Esq.



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